

FORM PTO-1449 (modified)  
To: U.S. Department of Commerce  
(PW FORM PAT-1449)  
Patent and Trademark Office

Atty.  
Dkt. No.

M#

Client Ref.

061047

0268225

RM-1

Applicant: Alan Asay, et al.

Appln. No.: 09/943,086

Filing Date: August 31, 2001

Examiner: Paul E. Callahan

Group Art Unit: 2437

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Date: July 26, 2010

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## **U.S. PATENT DOCUMENTS**

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR 5,903,882	05/1999	ASAY et al.	705	44	
	BR 6,353,812	03/2002	FRANKEL et al.	705	44	
	CR 5,453,601	09/1995	ROSEN	705	65	
	DR 5,455,407	10/1995	ROSEN	705	69	

## **FOREIGN PATENT DOCUMENTS**

	Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
					Enclosed	No	Enclose	No
	ER WO 95/23465	08/1995	WIPO	YACOBI				
	FR WO 96/21192	07/1996	WIPO	MANDLER et al.				
	GR WO 96/31965	10/1996	WIPO	DOGGETT et al.				
	HR WO 96/32701	10/1996	WIPO	PAYS et al.				

## **OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

IR	International Search Report as issued for PCT/US00/02013, dated July 4, 2000						X	
JR	Bellare, M., et al., "iKP - A Family of Secure Electronic Payment Protocols", Proceedings of the Usenix Workshop of Electronic Commerce, Jul. 11, 1995, pp. 89-106.							
KR	International Search Report as issued for PCT/US97/22136, dated July 6, 1998						X	
LR	Kocher, Paul, "A Quick Introduction to Certificate Revocation Trees (CRTs), A Quick Introduction to CRTs, 3 pgs., no date.							
MR	Written Opinion as issued for PCT/US97/22136, dated August 18, 1998						X	
NR	Second Written Opinion as issued for PCT/US97/22136, dated December 14, 1998						X	
OR	International Preliminary Examination Report as issued for PCT/US97/22136, dated February 23, 1999						X	
PR	Result of consultation with the Examiner as issued for European Patent Application No. 97951534.3, dated August 31, 2007						X	
QR	Decision to refuse a European Patent application as issued for European Patent Application No. 97951534.3, dated October 26, 2007						X	
RR	Summons to attend oral proceedings as issued for European Patent Application No. 97951534.3, dated June 25, 2007						X	
SR	First Office Action as issued for European Patent Application No. 97951534.3, dated April 12, 2001						X	
TR	Second Office Action as issued for European Patent Application No. 97951534.3, dated June 5, 2003						X	
UR	Third Office Action as issued for European Patent Application No. 97951534.3, dated September 8, 2006						X	

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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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	VR					
	WR					
	XR					
	YR					

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					Enclosed	No	Enclose	No
	ZR							
	AAF							
	BBF							
	CCF							

## **OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

DDF	Official Notice of Final Decision of Rejection as issued for Japanese Patent Application No. 526,801/1998, dated March 18, 2008			X	
EEF	Official Notice of Final Decision of Rejection as issued for Japanese Patent Application No. 2008-185240, dated October 20, 2009			X	
FFF	Examiner's first report on Australian Patent Application No. 55153/98, dated April 11, 2000			X	
GGF	First Office Action as issued for Chinese Patent Application No. 97181385.X, dated April 5, 2002			X	
HHF	First Office Action as issued for Russian Patent Application No. 99115094/09 (016326), dated July 9, 2002			X	
IIR	Second Office Action as issued for Russian Patent Application No. 99115094/09 (016326), dated December 15, 2002			X	
JJR	Cervenka, Dana, "Bricking up the 'Net to make it safe for business", CED: Communications Engineering & Design, August 1996, pages 46-49				
KKF	Stipe, Suzanne, "High-tech cure is at hand for Internet insecurities", Best's Review - Life-Health Insurance Edition, Vol. 97, No. 5, Sept. 1996, pg. 106(2)				
LLF					
MMF					
NNF					
OOF					
PPF					

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